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PATENT

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15 OCT 2002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Hornung et al  
Serial No. : 10/070,242  
Filed : February 27, 2002  
For : **FIVE MEMBERED-RING COMPOUNDS AND UTILIZATION  
THEREOF IN LIQUID CRYSTAL MIXTURES**  
Confirmation  
No. : 2927

745 Fifth Avenue  
New York, New York 10151

**EXPRESS MAIL**

Mailing Label Number: EV 142961188 US

Date of Deposit: October 15, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

**COMMUNICATION**

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Box PCT

Sir:

Attached is the original executed inventor's declaration along with Check No.

023280 for \$130.00 to cover the required surcharge fee.

This Communication is being filed with a three-month Petition for Extension of Time to extend the period of response from July 15, 2002, to October 15, 2002.

Please charge any additional fees required to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicants

By: \_\_\_\_\_



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10 Nov

5 OCT 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

 Commissioner for Patents, Box PC1  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10 070,242	Barbara Hornung	514453-3916

INTERNATIONAL APPLICATION NO.
PCT/EP00/08518

I.A. FILING DATE	PRIORITY DATE
08/31/2000	09/01/1999

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CONFIRMATION NO. 2927

371 FORMALITIES LETTER



\*OC00000008063241\*

DOCKETED

Date Mailed: 05/15/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report

FROM FROMMER LAWRENCE & HAUG, LLP  
 MAY 21 4 03 PM '02

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

CHRISTINE S WASHINGTON

Telephone: (703) 305-3752

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/070,242	PCT/EP00/08518	514453-3916

FORM PCT/DO/EO/905 (371 Formalities Notice)